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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Richard S. Myers, Jr.  
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Applicant: Rosenthal, et al.  
Serial No.: 09/864,728  
Filed: May 24, 2001  
For: Linker Arm for Nanocrystals and Compounds Thereof  
Group Art Unit: 1614  
Examiner: Not yet assigned  
Attorney's Docket No. 11672N/020878  
Customer No.: 32885

**SMALL ENTITY TRANSMITTAL FORM**

Box Non-Fee Amendment  
Assistant Commissioner of Patents  
Washington, DC 20231

July 29, 2002

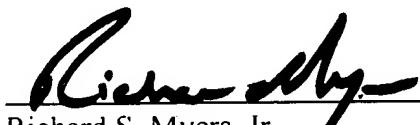
Dear Sir:

1. Transmitted herewith is a Preliminary Amendment in the above-identified application.
2. Small Entity Status remains proper for this Application.
3. The enclosed document is being transmitted via the certificate of mailing provisions of 37 C.F.R. § 1.8.
4. There is no fee required for this Preliminary Amendment.

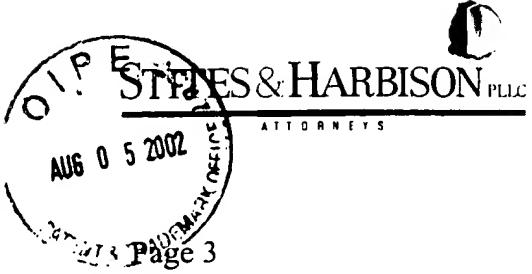
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If the Examiner has any questions regarding this correspondence, or the Application in general, he is respectfully invited to contact the undersigned.

Respectfully submitted,



Richard S. Myers, Jr.  
Registration No. 42,022  
STITES & HARBISON  
424 Church Street, Ste 1800  
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Attorney for Applicant



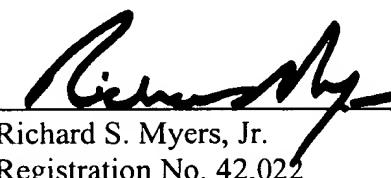
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CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this Small Entity Transmittal Form and enclosures (Preliminary Amendment and Return Receipt Postcard) are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, DC 20231

on July 29, 2002.



Richard S. Myers, Jr.  
Registration No. 42,022

11672N:020878:497540:1:NASHVILLE